

CASE: PP/1-21983/P1/CGC 2022/CIP/CONT 2

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Deborah A. Pinori  
Type or print name

Deborah A. Pinori  
Signature

October 12, 2004  
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

URS LEO STADLER, ET AL.

APPLICATION NO: 10/762,601

FILED: JANUARY 22, 2004

FOR: STABILIZER COMPOSITION FOR THE  
ROTOMOLDING PROCESS

Group Art Unit: 1713

Examiner: Peter D. Mulcahy

Assistant Commissioner for Patents

Washington, D.C. 20231

LETTER

Sir:

Enclosed herewith is a Petition to Amend Inventorship Under 37 CFR 1.48(d) in the above identified application.

The Commissioner is hereby authorized to charge the \$130.00 Processing fee for non-provisional applications under 37 CFR 1.17(q) and any additional fees that may be required or credit any overpayment to Deposit Account No. 03-1935. Two additional copies of this letter are enclosed for charging purposes.

Respectfully submitted,

Tyler A. Stevenson  
Agent for Applicants  
Reg. No. 46,388

Ciba Specialty Chemicals Corporation  
Patent Department  
540 White Plains Road  
P.O. Box 2005  
Tarrytown, NY 10591-9005  
(914) 785-2783  
10/12/2004



CASE PP/1-21983/P1/CGC 2022/CIP/CONT 2

**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Deborah A. Pinori*  
Type or print name

*Deborah A. Pinori*  
Signature

*10/12/04*  
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Group Art Unit: 1713

URS LEO STADLER et al.

Examiner: **Peter D. Mulcahy**

APPLICATION NO: 10/762,601

FILED: **JANUARY 22, 2004**

FOR: STABILIZER COMPOSITION FOR THE  
ROTOMOLDING PROCESS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CORRECTION OF INVENTORSHIP UNDER RULE 48(a)**

Sir:

Applicant requests that the named inventors for the above-identified patent application be amended to **add the following inventor** who is an inventor of the invention now being claimed:

a) James H. Botkin

The inventors of the present invention are:

a) Urs Leo Stadler and

b) James H. Botkin

10/15/2004 JADD01 00000015 031935 10762601

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A statement is attached herewith signed by James Botkin, the inventor being added, stating that the error in inventorship occurred without deceptive intent.

A substitute declaration is also attached, signed by both inventors.

A fee letter is attached, authorizing payment of the fee required under 37 CFR 1.17(i).

Written consent of an officer of Ciba Specialty Chemicals is also attached herewith.

The Commissioner is authorized to charge any fee due under 37 CFR 1.17, or credit any overcharge, as a result of this Amendment to Deposit Account No. 03-1935.

Applicants submit that the requirements of Rule 48(a), requesting the addition of James Botkin as an inventor on the present application, are met.

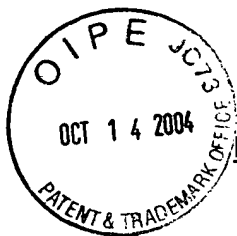
Respectfully submitted,



Tyler A. Stevenson  
Agent for Applicants  
Reg. No. 46,388

Ciba Specialty Chemicals Corp.  
540 White Plains Road  
P.O. Box 2005  
Tarrytown, NY 10591-9005  
Tel. (914)785-2783  
Fax (914)785-7102

Attachment: Statement by James Botkin  
Substitute declaration  
Fee letter  
Consent of an officer of Ciba Specialty Chemicals



Case: PP/1-21983/P1/CGC 2022/CIP/CONT 2

DECLARATION AND POWER OF ATTORNEY FOR U.S. PATENT APPLICATIONS

☐ Original      ☐ Supplemental      ☒ Substitute      ☐ PCT

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if more than one name is listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Stabilizer composition for the rotomolding process

which is described and claimed in:

- ☐ the attached specification.
- ☒ the specification in U.S. application No. 10/762,601, filed January 22, 2004
- ☐ the specification in International Application No. PCT/ \_\_\_\_\_, filed \_\_\_\_\_, assigned U.S. Application No. \_\_\_\_\_ (if applicable), and as amended (month/day/year)
  - ☐ under PCT Article 19 on \_\_\_\_\_ (if applicable) (month/day/year)
  - ☐ under PCT Article 34 on \_\_\_\_\_ (if applicable) (month/day/year)
  - ☐ and further amended on \_\_\_\_\_ (if applicable) (month/day/year)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information which is known by me to be material to the patentability of this application as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America relating to this subject matter having a filing date before that of the application on which priority is claimed:

COUNTRY/REGION (OR PCT)	APPLICATION NO.	FILING DATE (month/day/year)	PRIORITY CLAIMED	
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under 35 USC § 119(e) of any United States provisional application(s) listed below:

APPLICATION NO.	FILING DATE (month/day/year)
_____	_____
_____	_____
_____	_____

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or PCT international application(s) designating the United States listed below and, insofar as the application discloses and claims subject matter in addition to that disclosed in the prior copending application, I acknowledge the duty to disclose all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. APPLICATION NO.	FILING DATE (month/day/year)	STATUS		
<u>10/041,151</u>	<u>January 8, 2002</u>	<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input checked="" type="checkbox"/> Abandoned
<u>09/455,143</u>	<u>December 6, 1999</u>	<input checked="" type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
<u>09/259,724</u>	<u>March 1, 1999</u>	<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input checked="" type="checkbox"/> Abandoned

PCT APPLICATION NO.	INTERNATIONAL FILING DATE (month/day/year)	U.S. APPLICATION NO. (if any)	STATUS
_____	_____	_____	<input type="checkbox"/> Patented
_____	_____	_____	<input type="checkbox"/> Pending
_____	_____	_____	<input type="checkbox"/> Abandoned

I hereby appoint the following attorneys and agents, associated with Customer No. 000324, each of them with full power of substitution, revocation and appointment of associates, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

JoAnn L. Villamizar (Reg. No. 30,598), Kevin T. Mansfield (Reg. No. 31,635), Tyler A. Stevenson (Reg. No. 46,388) and Michele A. Kovaleski (Reg. No. 37,865).

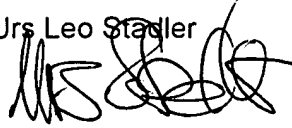
Address all correspondence associated with Customer No. 000324 to ***Ciba-Specialty Chemicals Corporation, Patent Department, 540 White Plains Road, P.O. Box 2005, Tarrytown, NY 10591-9005.***

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole  
or first joint inventor

Urs Leo Stadler

Inventor's signature



Date 9/30/04  
month/day/year

Residence

3 North Oak Court  
Madison, New Jersey 07940

Citizenship

Switzerland

Post Office Address

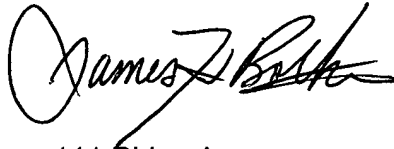
Same as above

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Full name of second  
joint inventor, if any

James H. Botkin

Inventor's signature



Date 10/7/04  
month/day/year

Residence

111 Ridge Avenue  
Passaic, New Jersey 07055

Citizenship

United States of America

Post Office Address

Same as above



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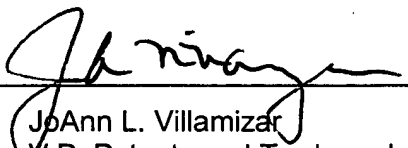
**STATEMENT OF CONSENT OF ASSIGNEE UNDER RULE 48(a)**

Sir:

I, JoAnn L. Villamizar, represent that I have authority to act on behalf of Ciba Specialty Chemicals Corporation;

That Ciba Specialty Chemicals Corporation, a corporation organized and existing under the laws of the State of Delaware, is the assignee of the entire interest in present app. No. **10/762,601** by virtue of an assignment recorded in the U.S. Patent and Trademark Office on May 28, 2002, reel/frame 012931/ 0099; and

That Ciba Specialty Chemicals Corp. consents to the addition of **James H. Botkin** as an inventor of present app. No. **10/762,601**.

  
JoAnn L. Villamizar  
V.P. Patents and Trademarks  
Ciba Specialty Chemicals Corp.



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**STATEMENT UNDER RULE 48(a)**

James H. Botkin , the undersigned, states:

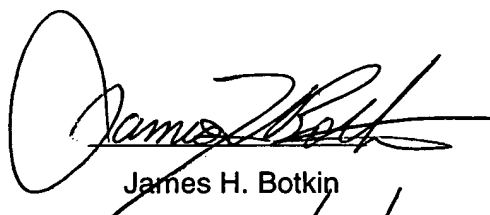
That I received a Ph.D. in Chemistry from Northeastern University, Boston, Massachussets, 1986;

That I have been employed by Ciba Specialty Chemicals Corporation and its predecessor corporation since 1989 ;

That I have approximately 24 years of chemical research and development experience; that from October 1989 to date I have worked in the Research and Development laboratories of Ciba Specialty Chemicals Corporation; and

That the error in inventorship of the present application occurred without deceptive intent on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



James H. Botkin  
9/23/2004